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INVENTORSHIP DECLARATION BY SOLE INVENTOR

I HEREBY DECLARE THAT:

My residence, mailing address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

POLYMORPHIC DNA FRAGMENTS AND USES THEREOF

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on **August 21, 2001** as Application Serial No. **09/934,020**.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to LeeAnn Gorthey at (650) 838-4403. Address all correspondence to:

**Perkins Coie LLP
P.O. Box 2168
Menlo Park, CA 94026**

Customer No. 22918

INVENTORSHIP DECLARATION BY SOLE INVENTOR

PART A: INVENTOR INFORMATION AND SIGNATURE

Full name of SOLE inventor: Sydney Brenner

Citizenship: GB Mailing Address: 2120 Vallecitos, #204
La Jolla, CA 92037

Residence (if different):

Inventor's Signature: Sydney Brenner Date: 28 Oct 2001

INVENTORSHIP DECLARATION BY SOLE INVENTOR

PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
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PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
<u>60/227,058</u>	<u>21 August 2000</u>	<input checked="" type="checkbox"/> Abandoned



#5

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: November 8, 2001

By: Symone B. Anderson

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Sydney Brenner

SERIAL No.: 09/934,020

FILED: August 21, 2001

FOR: **POLYMORPHIC DNA FRAGMENTS AND
USES THEREOF**

EXAMINER: Unknown

ART UNIT: 1645

Declaration Under 37 CFR §1.821

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, LeeAnn Gorthey, declare and affirm as follows:

1. I am an agent for the applicant.
2. The floppy disc which accompanies this Declaration contains the required sequence listing.
3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

LeeAnn Gorthey

LeeAnn Gorthey
Registration No. 37,337

Date: 11-8-01

Correspondence Address:
Customer No. 22918

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):
Sydney Brenner
Add'l names of conveying parties attached?
☐ Yes ☒ No

2. Name/address of receiving party(ies):

Lynx Therapeutics, Inc.
25861 Industrial Boulevard
Hayward, California 94545

3. Nature of conveyance: ☒ Assignment
☐ Merger ☐ Security Agreement ☐ Other
☐ Change of Name ☐ Reassignment

4. Date(s) of execution: October 30, 2001.

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: _____.

A. Patent Application No.(s)
09/934,020 filed 08/21/01

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Perkins Coie LLP
P.O. Box 2168
Menlo Park, CA 94026
(650) 838-4403

7. Total No. of applications and patents involved: one (1)

8. Total fee (37 CFR \$3.41): \$40.00
☒ Please charge Deposit Account No. 50-0665 for fee due.

9. Total number of pages, including cover sheet, attachments and document: 4

DO NOT USE THIS SPACE

10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

LeeAnn Gorthey
Name of Person Signing

LeeAnn Gorthey
Signature

NOV 5, 2001
Date